
1 Modeling Studies on the Formation of Hurricane Helene: The Impact of
2 GPS Dropwindsondes from the NAMMA 2006 Field Campaign

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ABSTRACT

9 Numerical simulations, using the Weather Research and Forecasting (WRF) model in
10 concert with GPS dropwindsondes released during the NASA African Monsoon Multidis-
11 ciplinary Analyses (NAMMA) 2006 Field Campaign, were conducted to provide additional
12 insight on SAL-TC interaction. Using NCEP Final analysis (FNL) datasets to initialize the
13 WRF, a sensitivity test was performed on the assimilated (i.e., observation nudging) GPS
14 dropwindsondes to understand the effects of individual variables (i.e., moisture, temperature,
15 and winds) on the simulation and determine the extent of improvement when compared to
16 available observations. The results suggested that GPS dropwindsonde temperature data
17 provided the most significant difference in the simulated storm organization, storm strength,
18 and synoptic environment, but all of the variables assimilated at the same time give a more
19 representative mesoscale and synoptic picture.

1. Introduction

Each hurricane season, about 50-60 tropical low-pressure disturbances exit the West Africa coast and propagate westward across the Atlantic. Approximately one-fifth of these disturbances become tropical depressions, tropical storms, or hurricanes. The ability to isolate which of these disturbances will or will not develop has presented a significant challenge through the years. The solution to this problem may lie in the understanding of the intricate interaction among Saharan dust storms (i.e. the Saharan Air layer or SAL), the West African Monsoon (WAM), and these tropical disturbances. The WAM is a seasonal reversal of the winds that provide beneficial rainfall to the Sahel, a region bounded by the Sahara Desert to the north and tropical rain forests to the south. The WAM originates when the mean wind out of the east or northeast is replaced by southwesterly winds at the surface which transport moist air from the tropical Atlantic over the Sahel, leading to heavy rains falling close to the southern edge of the Sahara. During the monsoon, the contrast between the hot Saharan air and relatively cooler air to the south gives rise to the African Easterly Jet (AEJ). Disturbances within the AEJ are commonly known as African Easterly Waves (AEWs). Approximately half of the hurricanes that impact the United States have origins as AEWs.

The National Aeronautics and Space Administration (NASA) conducted the NASA African Monsoon Multidisciplinary Analyses (NAMMA) field campaign from the Cape Verde Islands and western North Africa from August to September 2006. Major goals of the project were to: (1) identify and characterize AEWs and mesoscale convective systems (MCSs) over West Africa as they transition from land-based to ocean-based convective systems; (2) examine the formation and evolution of hurricanes from AEWs in the eastern and central Atlantic and their impact on the U.S. east coast; and (3) determine the composition and structure of the Saharan Air Layer (SAL), and whether aerosols affect cloud precipitation and influence tropical cyclone (TC) development. To carry out this investigation, NASA deployed surface observation networks and an aircraft to sample AEWs and MCSs as they moved from the

47 continental environment to the maritime environment. NASA also used its extensive array
48 of orbiting satellites such as Aqua, TRMM, and Cloudsat/CALIPSO along with modeling to
49 support the main objectives of the NAMMA field campaign. NASA's DC-8 medium altitude
50 research aircraft served as the primary research tool for the NAMMA investigations, flying
51 a compliment of 40 crew members and a variety of remote and in situ sampling sensors to
52 measure aerosol, cloud, and meteorological parameters.

53 The data collected during the NAMMA DC-8 flights has provided significant insight into
54 the role that the SAL plays in regulating tropical cyclogenesis. Dunion and Velden (2004)
55 suggested that the SAL might suppress TC activity in the North Atlantic and documented its
56 suppressing characteristics for a number of specific TC-SAL interactions that have occurred
57 during several recent Atlantic hurricane seasons. Carlson and Prospero (1972) proposed
58 that a dry, well-mixed layer often extends to roughly 500 hPa over Africa during the summer
59 months. As this air mass advances westward from the North Africa coast, often in association
60 with AEWs (Burpee 1972), it is undercut by cool, moist, low-level maritime air and becomes
61 the SAL. Just offshore, the SAL's base is at roughly 900-1800 m and the top is usually below
62 5500 m (Diaz et al 1976). Near its southern boundary, the SAL is also associated with the
63 mid-level AEJ centered near 700 hPa, which can greatly increase vertical wind shear (e.g.,
64 Dunion and Velden 2004). The SAL appears to retain its Saharan characteristics of warm,
65 stable air near its base, and dryness and dustiness throughout its depth as it is carried as
66 far as the western Caribbean Sea (approximately 7000 km from the West Africa coast).

67 Though it can promote convection along its western and southern boundary (Chen 1985),
68 the SAL can act to suppress convection by enhancing evaporatively driven downdrafts which
69 can disrupt any attempts at organization for a developing or well-developed TC (Emanuel
70 1989; Powell 1990). Dunion and Velden (2004) hypothesized that AEWs simply propagate
71 into the SAL, while the low- to mid-level inflow of TCs advect the SAL's dry, dusty air
72 into the TC circulation. This dry air is also associated with reduced values of convective
73 available potential energy (CAPE), a measure of the stability of the atmosphere (Dunion

2011). Smaller values of CAPE imply greater atmospheric stability and therefore, reduced convective activity. SAL-targeted GPS sondes indicate that the SAL appeared to maintain its thermodynamic characteristics as it moved approximately 5000 km across the North Atlantic to a position less than 500 km off the southeast U.S. coast (Carlson and Prospero 1972). Dunion and Velden (2004) noted that the 29 SAL profiles they collected suggest that the variability of the moisture in the SAL is relatively low. The standard deviation of the 700 hPa relative humidity (RH) was less than eight percent for these soundings. These results are similar to the mean SAL atmospheric sounding that was later presented by Dunion (2011).

Much emphasis has been placed on the dry air and vertical wind shear associated with the SAL as contributors to the degeneration of many AEWs, but little has been done to decipher the exact impact. Braun (2010) has focused on the synoptic pattern as a possible reason for the degeneration of certain AEWs, suggesting that drying from synoptic-scale subsidence on the ridge side of the ITCZ circulation gets entrained into an AEW, leading to competing downdrafts that disrupt the low-level circulation. Another area that deserves some investigation is the role of the aerosols within the SAL as a contributor of competing cloud condensation nuclei (e.g., Centeno and Chiao 2015). Although the data collected during the NAMMA field campaign provides a detailed picture of the structure of both AEWs and MCSs, these data do not provide a description of the environment that the AEWs and MCS exist in and how that environment changes over time. By using the dropsonde data and a mesoscale model a detailed analysis of how AEWs interact with their environment can be constructed and how that interaction determines whether an AEW turns into a tropical depression, tropical storm, or hurricane. This detailed analysis could then be used to test hypotheses of how the SAL affects AEWs' in association with tropical depressions, tropical storms, or hurricanes, The goal of this study is to create this analysis and to test how sensitive the analysis is to the availability of data.

Section 2 describes the case and numerical experimental design, section 3 provides discussions of WRF simulation results, and section 4 offers conclusions.

2. Methodology

a. Description of the Case Study

Seven AEWs were sampled during the NAMMA 2006 Field Campaign near the Cape Verde Islands in the eastern North Atlantic. Figure 1 shows these AEWs, of which two developed rapidly (AEW 2 and AEW 7). The remaining AEWs either dissipated or were linked to TC development farther downstream in the western North Atlantic. The time period of our study was between AEW 6 and AEW 7. These AEWs were chosen based on the quality of the GPS dropwindsonde data and the contrast in their evolution as AEW 6 remained weak and dissipated, while AEW 7 eventually strengthened into a category 3 hurricane. Zawislak and Zipser (2010) noted that the 925 hPa vorticity associated with AEW 6 was very inconsistent (Fig. 1a), but a 700 hPa vorticity maxima tracked in concert with the 700 hPa wave trough through much of its lifecycle (Fig. 1b). Since AEW 6 did not develop into a tropical depression (Fig. 2a), the main focus of this paper was on AEW 7.

AEW 7, which became Hurricane Helene, had its origins in far eastern Africa in the vicinity of the Ethiopian Highlands. According to Zawislak and Zipser (2010), the vorticity maxima and AEW trough tracks in the Global Data Assimilation System (GDAS) analyses for this system were the longest and most consistent of any of the NAMMA AEWs. The northern track low-level and southern track mid-level vorticity maxima merged shortly after moving off the western Africa coastline on 11 September 2006 (Fig. 2b). A surface low quickly formed and was upgraded to the 8th tropical depression of the 2006 Atlantic season at 1200 UTC on 12 September. It strengthened to a tropical storm at 0000 UTC on 14 September and was upgraded to a hurricane at 1200 UTC on 16 September, which is beyond the scope of this study. Helene reached peak intensity as a 105 kt (54 m s^{-1}) category 3 hurricane at 0000 UTC on 18 September.

125 *b. Description of the Domain*

126 To accurately capture the transition of AEWs from West Africa to the Atlantic, the
127 domain had to be large enough to account for the synoptic and geographic influences such
128 as the AEJ and the Sahara Desert, respectively. Figure 3 gives a visual representation of the
129 three domains used in this study, using a Lambert Conformal map projection. The horizontal
130 grid spacing for the first domain is 36 km that spans from $4^{\circ} - 24^{\circ}\text{N}$ and $2^{\circ} - 30^{\circ}\text{W}$. This
131 places the west coast of Africa in the center of the domain and was done to ensure the AEW
132 stays near the center of the domain for the duration of the simulation, while also allowing
133 an adequate amount of the dry air associated with the SAL to be simulated correctly as it
134 interacts with the AEW/TC. The first nested domain is a 12 km grid ($8^{\circ} - 19^{\circ}\text{N}$, $9^{\circ} - 27^{\circ}\text{W}$),
135 which focuses on the synoptic scale interaction with the AEW/TC in a more mesoscale
136 environment. The West African coastline is in the eastern third of this domain to capture
137 the transition from continental to maritime environments, while the Cape Verde Islands
138 are located in the northwest corner of the domain. The final nested domain is a 4 km
139 grid ($10.5^{\circ} - 16^{\circ}\text{N}$, $14^{\circ} - 26^{\circ}\text{W}$). This inner domain focuses attention on the vortex at 700
140 hPa and eventually the surface circulation once the system moved to south-southeast of the
141 Cape Verde Islands. The finer resolution of this domain also provides more detail when
142 simulating the behavior of convection near the vortex as well as the intricate interactions in
143 the boundary layer.

144 The AEW transitions from a continental convective regime to a maritime tropical regime,
145 with dry, dusty air and cool sea surface temperatures (SSTs) along the immediate coastline
146 possibly playing a significant role. It has been noted that this region is a source region
147 for long-tracked, Cape Verde hurricanes. A Cape Verde hurricane is defined as any TC
148 that develops within 600 nautical miles of the Cape Verde Islands. Using that definition,
149 a quick climatology of this domain was conducted and revealed that approximately 76 sys-
150 tems developed in this region from 1851 to 2010. Although many Cape Verde systems have
151 recurved around the western periphery of the subtropical ridge, passing harmlessly between

152 the United States and Bermuda, very few systems directly impacted the Cape Verde Islands.
153 For instance, Hurricane Erin (1989) tracked directly through the islands as a tropical depres-
154 sion and Hurricane Felix (1989) formed to the northeast of the islands in what is typically a
155 heavily dust-laden region. These two cases in particular would be considered anomalous as
156 there most likely was less SAL in the region in 1989.

157 *c. Sensitivity Tests*

158 There has been significant interest in the role that the dry air and aerosols play in this
159 evolution and whether it is the loading of aerosols or a combination of the aerosols, dry air,
160 and vertical wind shear that make up the SAL. Another aspect to investigate is the direct
161 or indirect contribution to TC degeneration or intensification. Sun et al. (2009) found that
162 "the SAL warm temperatures may be the indirect but root cause or fundamental factor,
163 whereas the dry air is a direct factor in leading to the TC suppression by increasing parcel
164 stability in the vicinity of the developing storm." The authors suggested that the WRF could
165 not adequately represent the near-storm environment as the dry air gets much closer to the
166 circulation center than the model could simulate. Therefore, it is necessary to find the best
167 possible simulation to most accurately depict the hostile synoptic and mesoscale environment
168 in the Eastern Atlantic using non-forecast initialization and available dropwindsondes.

169 For the simulations of AEW 7, multiple sensitivity tests were conducted on the three
170 domains previously described using the National Center for Atmospheric Research's (NCAR)
171 Weather Research and Forecasting (WRF) model V3.1.1 to determine the optimal physics
172 parameters and model initializations. The Global Forecast System (GFS) Final Analysis
173 (FNL) dataset was used to initialize the model runs due to their data assimilation of available
174 observations into a re-analysis as opposed to a model forecast. This approach was chosen
175 to allow assimilated observations within the GFS FNL dataset to correct the WRF towards
176 the real-time environment that was sampled during NAMMA 2006. The physics parameters
177 chosen for these simulations are based on previous sensitivity studies using the WRF in

178 simulating Hurricane Helene in the eastern North Atlantic (Folmer 2009). The following
179 physics parameters were used including: Thompson microphysics scheme (Hong et al. 2006),
180 the Mellor-Yamada-Janjic TKE Scheme (Janjic 2001), the RUC land-surface model, the
181 Goddard scheme for the shortwave radiation physics (based on Chou and Suarez 1994),
182 Rapid Radiative Transfer Model (RRTM) scheme for the longwave radiation physics (Mlawer
183 et al. 1997), and the Grell-Devenyi ensemble cumulus scheme. The control case simulation
184 began on 09 September at 0000 UTC and continued to 14 September 2006 at 0000 UTC.
185 The discussions in this study are based on the 12 km nest results.

186 With an adequate control case, the next step was to assimilate GPS dropwindsonde data
187 that was collected on 09 September 2006 (AEW 6) and 12 September 2006 (AEW 7) as
188 part of the NAMMA 2006 field campaign. These GPS dropwindsondes were launched from
189 the NASA DC-8 from pressure altitudes ranging from 300-600 hPa. The locations of the
190 dropsonde with overlaid MODIS satellite imagery are presented in Figure 4. Sondes dropped
191 on September 9, 2006 are marked in green (Fig. 4a) and those dropped on September 12,
192 2006 are in red (Fig. 4b). Since the goal of the NAMMA field campaign was to determine
193 whether aerosols in the Saharan Air Layer affect cloud precipitation and influence cyclone
194 development these launch points were designed to sample the environment that the waves
195 6 and wave 7 were developing in. The GPS dropwindsonde data shows the near real-time
196 environment both preceding and during the evolution of each AEW near or just southwest
197 of the Cape Verde Islands. It was assumed that the GPS dropwindsondes would be a
198 valuable asset to the data assimilation of the GFS FNL initialized WRF simulations. In a
199 study by Wu et al. (2007), it was found that the track error reduction in the WRF was
200 16% when GPS dropwindsondes and initial conditions from the operational GFS were used.
201 Nevertheless, the impacts from the GPS dropwindsondes assimilated with FNL data was
202 unclear. The purpose of assimilating the GPS dropwindsondes in this study is to evaluate
203 the quality and accuracy of the simulations involving AEW 7. The simulations included 15
204 GPS dropwindsondes (seven from 09 Sept 2006 and eight from 12 Sept 2006) and were then

205 compared to the control runs to isolate any systematic differences. The vertical resolution
206 was set using the prescribed eta-levels of 27 for the GFS FNL dataset. The GPS dropsondes
207 was utilized at these pre-determined levels.

208 It is worth noting that the NAMMA dropsonde data was quality controlled by the quality
209 control procedures developed by NCAR. The NCAR dropsonde system has a long history
210 of providing quality vertical atmospheric profiles from dropsondes. The dropsonde system
211 has been in use for tropical cyclone and hurricane research for several decades (e.g., Tuleya
212 and Lord 1997). A description of the accuracy of dropsonde measurements is documented
213 in Hock and Franklin (1999) and a description of NCARs quality control procedures applied
214 to a multiyear tropical cyclone dropsonde data can be found in Wang et al. (2015).

215 The dropsonde data was assimilated into the WRF using observation nudging rather than
216 3DVAR due to the lack of an appropriate background error covariance matrix. Although
217 there is generic background error covariance matrix it is a global value and is not limited
218 to the area around Cape Verde. Using a global value for a specific location may or may
219 not produce improvements. Further the limited number of dropsondes launched during the
220 field campaign, the changing location and the fact that no forecasts were available make
221 computing observational error statistics and background covariance matrixes problematic.
222 Observation nudging uses a weighted average of differences from observations within a radius
223 of influence and time window. The horizontal radius of influence is chosen based on the
224 density of observations and grid spacing. The vertical weighting is a chosen to be small
225 value so that only observations at sigma levels only assimilated. The period over which an
226 observation can have an influence is limited to a time window around the observation time
227 and the influence is ramped up and then down over a selectable amount time within the
228 window that the observation exerts an influence. For this study horizontal radii of influence
229 were 240km, 80km and 26km, which is approximately 6 times the grid spacing for domains
230 1, 2 and 3 with a vertical weighting of 0.005 sigma level. The time window of 40 minutes was
231 smoothly ramped up and the down associated with the nudging. Winds, temperature and

232 moisture observations from the dropsondes were used in the observation nudging process.

233 **3. Model Results**

234 *a. Control experiments*

235 Before assimilating the dropwindsondes into the WRF, an initial simulation was produced
236 using only the GFS FNL initialization dataset as a control run. The control run resulted
237 in an AEW that propagated westward and quickly intensified into a 976 hPa hurricane at
238 1200 UTC on 13 Sept 2006, near the southernmost Cape Verde Islands. By assimilating the
239 GPS dropwindsonde data using observation nudging, the system only gradually intensified to
240 1006 hPa and remained somewhat more disorganized (very similar to observations). Figure
241 5 shows the Meteosat-8 infrared satellite imagery of AEW 7 at 1400 UTC on 12 Sept 2006.
242 There was evidence of banding in the northwest quadrant, in juxtaposition with the SAL,
243 with some weaker banding evident in the southeastern and northeastern quadrants. Figure
244 6a shows the outgoing longwave radiation (OLR) for the GFS FNL initialized simulation
245 with no observation nudging. It is quite apparent that the system is more organized than
246 the IR satellite image in Fig. 5 as the appearance is more symmetric, yet the same strong
247 convection on the western flank is suggested with decent banding in all quadrants. The
248 difference here is that the simulation shows an intensity of 994 hPa at this time, while the
249 NHC Best track reports an intensity of 1007 hPa, two hours prior to this image. When all
250 15 GPS dropwindsondes were assimilated into the WRF (Fig. 6b), the intensity of the low
251 associated with the AEW is decreased to 1002 hPa, much closer to the NHC Best track
252 data. The AEW also looked more disorganized in this simulation with a lack of significant
253 banding features, except for a weak band in the southwest quadrant and abundant dry air
254 evident in the northern quadrant as evidenced by the higher OLR signature.

255 *b. Sensitivity experiments*

256 A series of sensitivity tests were performed to determine whether the temperature, rel-
257 ative humidity, or wind from the assimilated dropwindsondes contributed the most to the
258 differences in and around the environment of AEW 7 and its evolution in association with
259 SAL. Table 1 summarized all numerical experiments conducted in this study. The first nu-
260 merical experiment (i.e., wGPS) assimilated only the wind measurements from the 15 GPS
261 dropwindsondes with no additional thermodynamic or pressure data. At 1200 UTC 13 Sept
262 2006, the AEW had developed into a tropical depression according to the NHC Best track
263 dataset and it was sufficiently far enough away from the coast of Africa to not to be dis-
264 rupted by topographical influences. Figure 7 shows the difference in 600 hPa RH between the
265 simulation with no four-dimensional data assimilation (FDDA) (i.e., **nGPS**) and the sim-
266 ulation with only the wind contribution from the GPS dropwindsondes (i.e., **wGPS**). The
267 black ellipse isolates an area, as shown in Fig. 7, that is recurring in these sensitivity tests
268 where nGPS experiment has RH on the order of 35%-40% higher than wGPS experiment.
269 Considering there is no RH input from the GPS dropwindsondes, this signature could be a
270 product of the strength of moisture advection in that band. This indicates that the wind
271 from the GPS dropwindsondes was better to depict more dry air advection in the northern
272 and eastern quadrants of AEW 7 than the GFS FNL data alone could provide. The cooler
273 colors in Fig. 7 indicate areas where the wGPS experiment had higher RH than nGPS, but
274 the most significant departures were near the low center and the arcing spiral band to the
275 north. The inner core region of AEW 7 is generally drier when the GPS dropwindsondes
276 winds were added.

277 A difference in the temperature field at 700 hPa was noted between nGPS and wGPS.
278 It was likely due to a difference in low placement. As shown in Fig. 8, the difference is
279 most notable near the TC center (black circle) where nGPS is 6°C warmer than wGPS.
280 However, it appears as though the track of the 700 hPa low in wGPS is slightly faster and
281 south of the low in nGPS. This leads to a -7°C cool spot slightly southwest of the warm

282 spot. Meanwhile, the difference in sea level pressure (SLP) is depicted in Figure 9 where
283 the black circle denotes the location of the TC centers for each of the two simulations. The
284 cool colors indicate a difference in SLP of about 26 hPa, which is not truly representative of
285 the SLP differences between the two runs. At this time, nGPS had a central pressure of 976
286 hPa, while wGPS was at 987 hPa for a difference of 11 hPa. Much of the pressure difference
287 is due to an offset of the TC center between the two simulations, but there is a notable
288 difference in mean sea-level pressure (MSLP) at this time. This does indicate that the wind
289 observations from the GPS dropwindsondes alone weaken the simulated TC. Difference plots
290 of wind components (not shown) reveal some minor differences, but are misrepresented by
291 the difference in the location of the TC center in the simulation. It is plausible that the
292 reason for the slightly faster and farther south TC track is due to it being slightly weaker,
293 therefore begin advected by the more easterly low to mid-level trade wind flow. The greatest
294 impact of the wind from the GPS dropwindsondes was likely the advection of the lower RH
295 air into and around the system, which led to a slightly weaker system, though still biased
296 too strong.

297 The 2nd experiment was focused on the temperature component of the GPS dropwindson-
298 des (i.e., tGPS), while the wind and RH were not included. The same fields were compared
299 following the methods performed for the wind test, starting with the RH field at 600 hPa.
300 Figure 10 shows the same RH band that appears in the wind test in the black ellipse, but
301 this time nGPS has RH that is 15%-20% higher. There were notable differences in the west-
302 ern and southern quadrants where tGPS appears to be drier. The reasons for this are a bit
303 unclear, but could be due to the difference in temperatures between the two runs. Since RH
304 relies heavily on ambient temperature, higher temperatures lead to lower RH values as the
305 column can hold more moisture, while the opposite is true for this case where tGPS is cooler
306 in the TC environment than nGPS. Therefore, the effects of the drier air can be seen at work
307 by the lower RH values when compared with Figure 7. Meanwhile, the temperature field
308 in Figure 11 shows once again that the core of the TC in the nGPS experiment is warmer

309 than the core in tGPS (black circle) by 5°C . The ring of cool colors may be a product of
310 the cooling effects of downdrafts or precipitation processes within the model around a much
311 more organized eyewall structure in nGPS. The overall presentation of the temperature field
312 indicates that the nGPS experiment may be too warm in the storm environment as the
313 addition of the temperature from the GPS dropwindsondes had a cooling effect of up to 2°C
314 within the TC's larger scale circulation. The significant band of cooling to the right of the
315 black circle indicates a stronger band of convection in nGPS.

316 An analysis of the SLP difference field in Figure 12 reveals that both TC cores in the
317 simulations followed a similar path as there is a lack of a couplet caused by position offsets.
318 The nGPS experiment had a central pressure of 976 hPa, while tGPS had a central pressure
319 of 991 hPa. This 15 hPa difference in SLP along with the differences noted in the other two
320 fields suggest that the temperature component of the GPS dropwindsondes is quite significant
321 as the GFS FNL dataset most likely does not have adequate temperature information to
322 accurately represent this area. It is worth noting that the temperature departures put more
323 weight on the SAL environment introducing a more stable environment in the northern
324 and western quadrant of the TC circulation, thus limiting the convective activity in those
325 quadrants, despite moist low-levels and warm SSTs ($> 26^{\circ}\text{C}$).

326 The 3rd sensitivity experiment was conducted using the GPS dropwindsonde RH (i.e.,
327 rGPS), while the temperature and wind were excluded from the assimilations. Figure 13
328 shows the band of relatively higher RH (40-50%) that was consistent with the other sen-
329 sitivity tests. In fact, the nGPS RH was higher in an arcing band that extended into the
330 southwest inflow channel of the TC. The lower RH in the rGPS simulation would likely
331 negate rapid strengthening as convection would be initially intensified, but would eventually
332 lead to many competing downdrafts that would act to disrupt the low to mid-level vortex.

333 In Figure 14, the temperature difference at 700 hPa is plotted and once again a distinct
334 couplet is evident near the TC center. This is due to a slightly farther south location of
335 the TC center in the rGPS experiment. The general circulation of nGPS was warmer than

336 rGPS, but cooler temperatures were noted on the fringes in most quadrants (except for
337 the southern quadrant). This means that the temperatures at this level are warmer in the
338 GPS dropwindsonde run, possibly due to the lower RH values from the assimilated GPS
339 dropwindsondes. The difference in SLP is not presented though again, nGPS had a pressure
340 of 976 hPa and rGPS has a pressure of 982 hPa. Although the addition of RH data from
341 the GPS dropwindsondes did impact where moisture and temperature gradients existed in
342 rGPS, it was not enough to significantly weaken the simulated TC.

343 When all three of the GPS dropwindsonde observation components (RH, temperature,
344 and winds) are turned on in the assimilation (i.e., aGPS) , the TC takes on a much different
345 form than that observed in nGPS. Using the same methods as the individual tests to compare
346 differences, Figure 15 shows the most distinct band of higher RH in nGPS compared to aGPS.
347 Values within the black ellipse are 50% higher in the simulation with no GPS dropwindsonde
348 data. Lower RH values are also noted near the TC center, which suggests higher RH values in
349 the GPS dropwindsonde data than the initialization data had. The temperature difference
350 in Figure 16 is indicative of a much warmer mid-level core in nGPS and a much warmer
351 peripheral TC circulation in aGPS (e.g., particularly in the northern semicircle), which
352 could be evidence of the presence of the SAL. Finally, the SLP difference plot (Figure 17)
353 shows a stark difference in pressure between the two simulations. By assimilating all of the
354 thermodynamic and kinematic components of the GPS dropwindsondes into the model, the
355 TC remains much weaker with a central pressure in aGPS of 1005 hPa, 29 hPa higher than
356 in the nGPS simulation.

357 Another effective way to gauge the model performance in depicting the mesoscale envi-
358 ronment is to compare the GPS dropwindsonde data with model analyses using collocated
359 skew-T plots. The intent is for the simulation to represent the eastern North Atlantic syn-
360 optic and mesoscale environments as precisely as possible, rather than improving individual
361 forecasts. Figure 18a shows the first GPS dropwindsonde released from the DC-8 on 09
362 Sept 2006 at approximately 1400 UTC (25.4°W and 14.2°N). The GPS dropwindsonde was

363 released from a flight level of approximately 500 hPa and therefore a full sample of the tropo-
364 sphere was not observed; the SAL area of interest is between 550 hPa and 950 hPa. Fairly dry
365 air is noted between 950 hPa and 680 hPa, with a spike of very dry air around 650 hPa. This
366 may reflect the GPS dropwindsonde responding from the change in aircraft environment to
367 the airmass, but that is hard to decipher from here. Nevertheless, smaller values of CAPE
368 imply greater atmospheric stability and therefore, reduced convective activity. A skew-T
369 plot from the same coordinates in the control GFS FNL initiated run (Figure 18b) shows
370 some representation of the drier air around 850 hPa, but is too moist at 700 hPa. There
371 is also far less CAPE in this simulated sounding at $669 Jkg^{-1}$ compared to the observed
372 sounding (approximately $2500 Jkg^{-1}$). When the GPS dropwindsondes were assimilated
373 into the WRF on 09 Sept 2006, the sounding corrected towards the GPS dropwindsonde
374 sounding with much drier air showing up below 675 hPa (Figure 18c).

375 An additional example of the improvement seen using the skew-T plots appears when
376 comparing the first GPS dropwindsonde on 12 Sept 2006 at $25.7^{\circ}W$ and $15.6^{\circ}N$ (Figure
377 19a). This GPS dropwindsonde was released around 1300 UTC at approximately 280 hPa
378 and reveals a sounding with SAL between 600 hPa and 900 hPa, which is a bit lower than
379 expected. A comparison sounding was created from the same location in the GFS FNL-
380 initialized simulation without observation nudging at the same time (Fig. 19b) and shows
381 a sounding that is more moist at the mid-levels from 500 hPa to 700 hPa. The presence of
382 the SAL can be identified by the inversion around 975 hPa, with significant warming above
383 this level. This shows that the initialization data was able to capture some presence of the
384 SAL. Figure 19c shows how the assimilated GPS dropwindsonde data was able to correct
385 the atmospheric profile with a more significant inversion at about 950 hPa and much drier
386 conditions extending up to 500 hPa. A significant dry spike is seen in the region that would
387 be identified as the SAL (550 hPa to 675 hPa). There is also a 50 kt ($25ms^{-1}$) wind barb that
388 appears at 650 hPa, coincident with the dry SAL air and is likely a signature of the AEJ.
389 The vertical resolution of the GPS dropwindsondes does outweigh the vertical resolution of

390 the WRF with the former having hundreds of data points, while the latter has 27 levels
391 between the surface and 100 hPa. These model profiles could be improved with increased
392 resolution.

393 4. Conclusions

394 This study is on simulating the AEW environment in association with TC-genesis in the
395 Eastern Atlantic. GPS dropwindsonde data collected during NAMMA 2006 was assimilated
396 into the WRFV3.1.1 to better prescribe the mesoscale environment of AEW 7 south of the
397 Cape Verde Islands. By using the GFS Final Analysis (FNL) dataset in conjunction with
398 the GPS dropwindsondes, this study is aiming to determine the relative role of SAL in the
399 development of hurricanes in this region.

400 The WRF simulations were able to capture the AEW 7 as it transitioned from a continen-
401 tal airmass to a maritime airmass as well as the formation of tropical depression (pre-Helene).
402 Sensitivity tests were conducted to isolate the most significant variable (temperature, rela-
403 tive humidity, or wind speed) in the GPS dropwindsonde data. AEW 7 eventually became a
404 category 3 hurricane (Helene 2006) over the central North Atlantic after ingesting dry, dusty
405 air from the SAL for a few days.

406 After assimilating available GPS dropwindsonde data into the WRF simulations of both
407 systems, temperature, RH, and wind analyses were conducted, and it was determined that
408 the temperature plays the most significant role in the simulated intensity of the TC. The
409 difference in pressure between the simulation without GPS dropwindsondes and the simula-
410 tion with temperature nudging was 15 hPa. There were additional improvements noted in
411 the RH and temperature fields, although the GFS FNL dataset is limited in adequate data
412 coverage in this region of the world to properly capture this complex environment. The as-
413 simulated RH introduced moisture and temperature gradients which are more likely to occur
414 in the eastern North Atlantic than the GFS FNL dataset would suggest. The assimilated

415 GPS dropwindsonde wind appears to have a greater impact on the advection of moisture and
416 temperature into and around the TC circulation. When all three variables (moisture, tem-
417 perature, and winds) from the GPS dropwindsondes were assimilated, a more representative
418 environment is simulated compared to satellite retrievals and any available in-situ observa-
419 tions. Particularly, the winds can change the storm circulation and eventually change tracks.
420 We plan to further address this area in terms of track and intensity verification in a following
421 study. Additionally, the SLP of the simulated AEW 7 improves by 29 hPa. Skew-T diagrams
422 emphasized the improvements by showing a much better representation of the atmospheric
423 column in the GPS dropwindsonde simulations versus the no GPS dropwindsonde runs. In
424 this study the GPS dropwindsondes provided some improvements in the vertical structure
425 of the environment when compared to model-derived Skew-T diagrams. Apparently, it is
426 necessary to have more vertical levels in the model in order to fully take advantages on GPS
427 dropwindsondes.

428 Having an accurate synoptic and mesoscale environment simulated will allow for future
429 indepth studies of SAL-TC interactions. Using model initialized datasets with additional
430 observations assimilated leads to better simulation. We can conclude that additional GPS
431 dropwindsondes released in this area of the world may improve model and human forecasts
432 substantially. A separate paper will be presented the intricate details of the SAL interaction
433 with TCs in terms of tracks and intensity.

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494 1 Sensitivity experiments.

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TABLE 1. Sensitivity experiments.

<i>Cases</i>	<i>Assimilation Component</i>
wGPS	only wind component from dropsondes
nGPS	no GPS dropsondes assimilated
tGPS	only temperature component from dropsondes
rGPS	only RH component from dropsondes
aGPS	all three components from dropsondes

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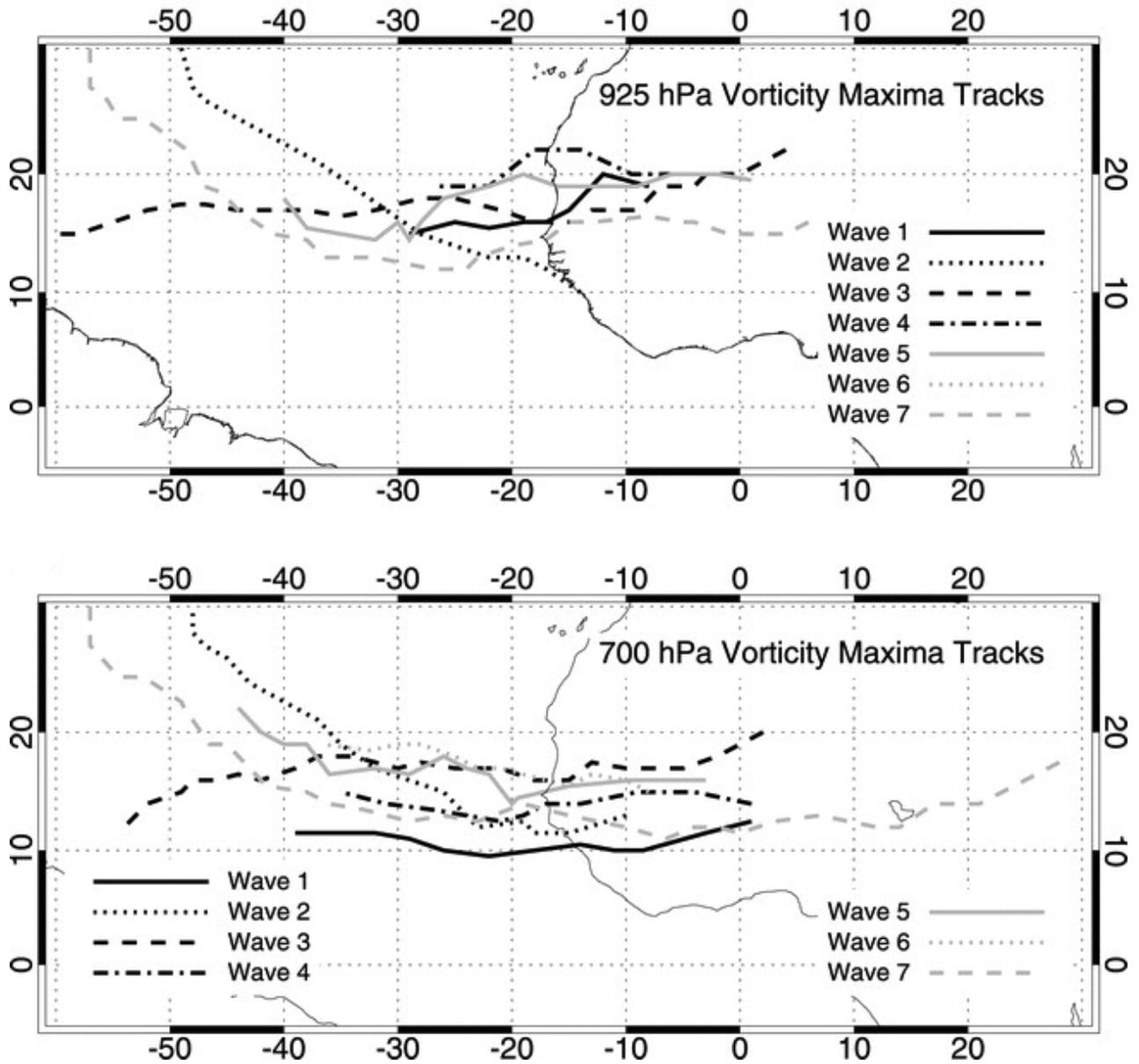


FIG. 1. The Global Data Assimilation System (GDAS) analyzed (a) 925- and (b) 700-hPa vorticity maxima tracks for the seven AEWs of NAMMA (Zawislak and Zipser 2010, p. 29)

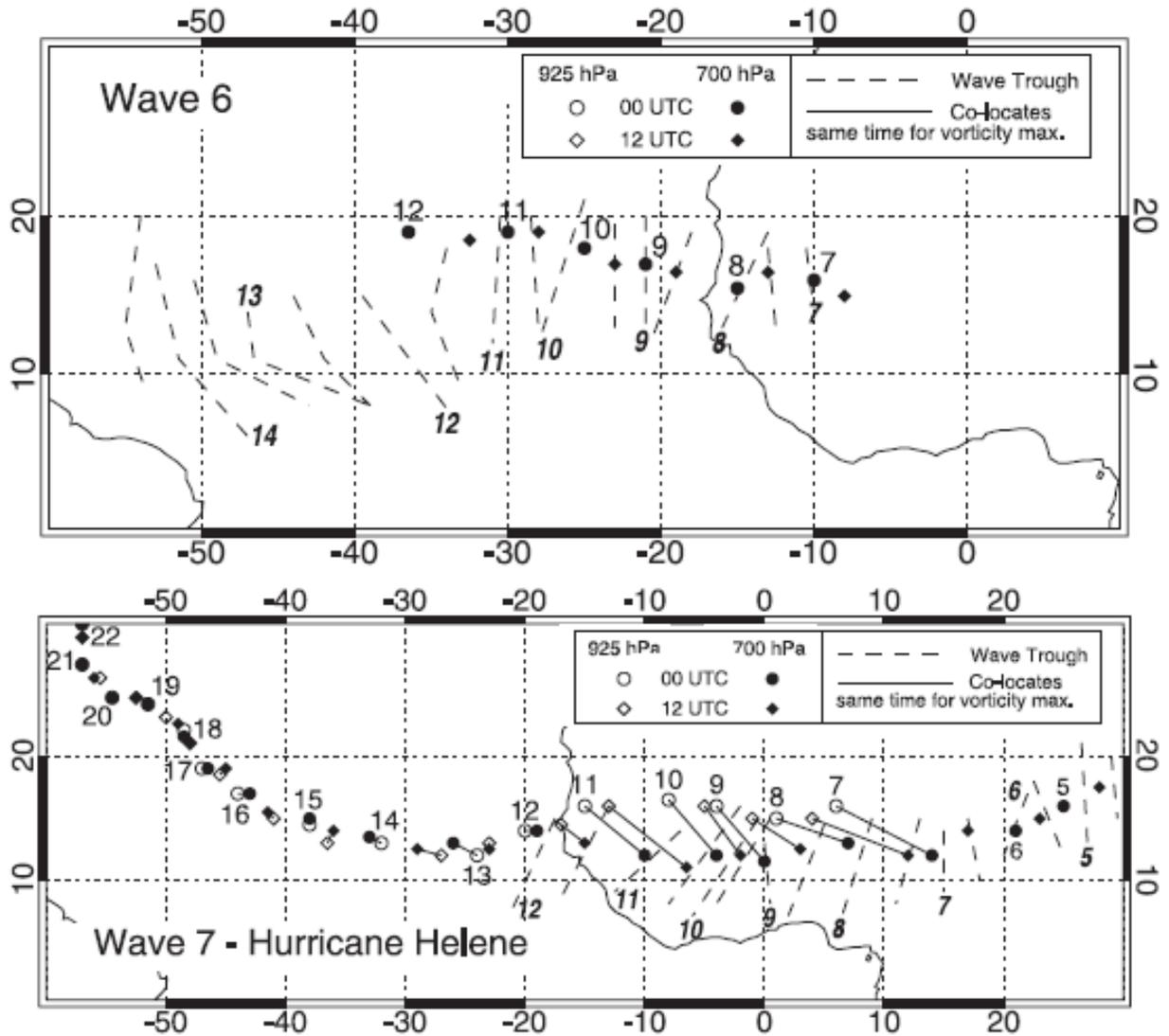


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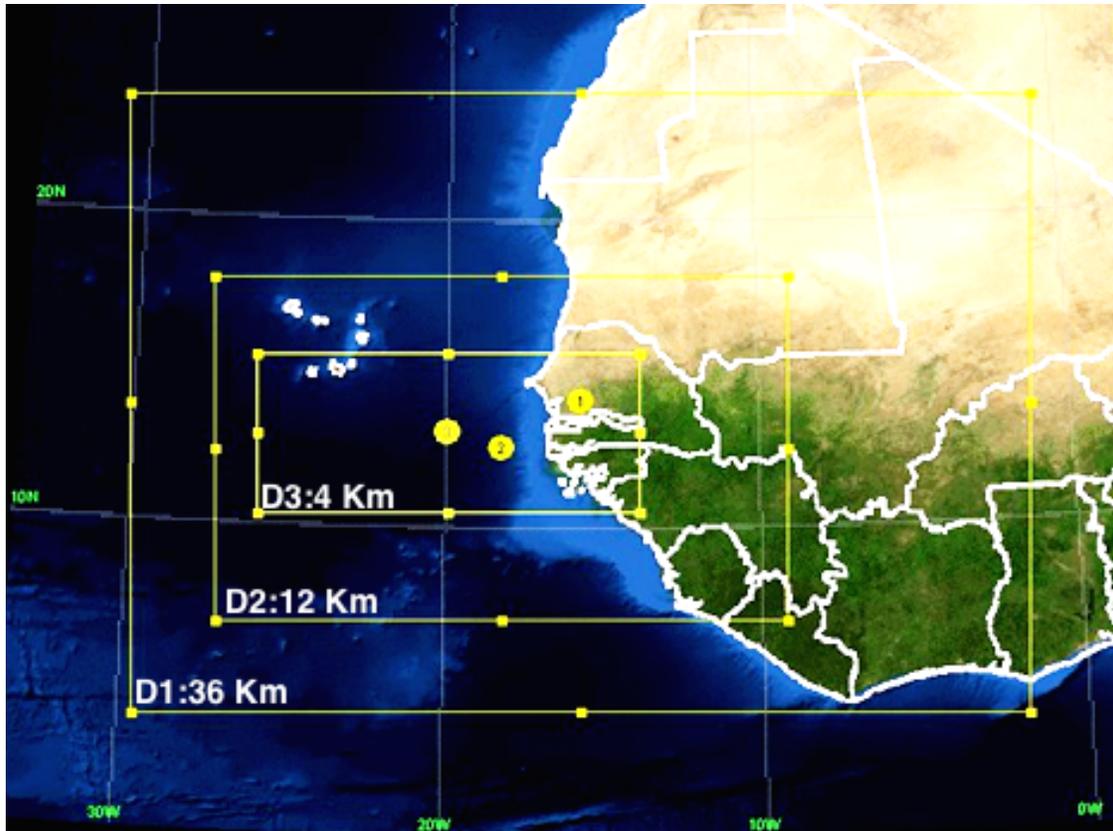


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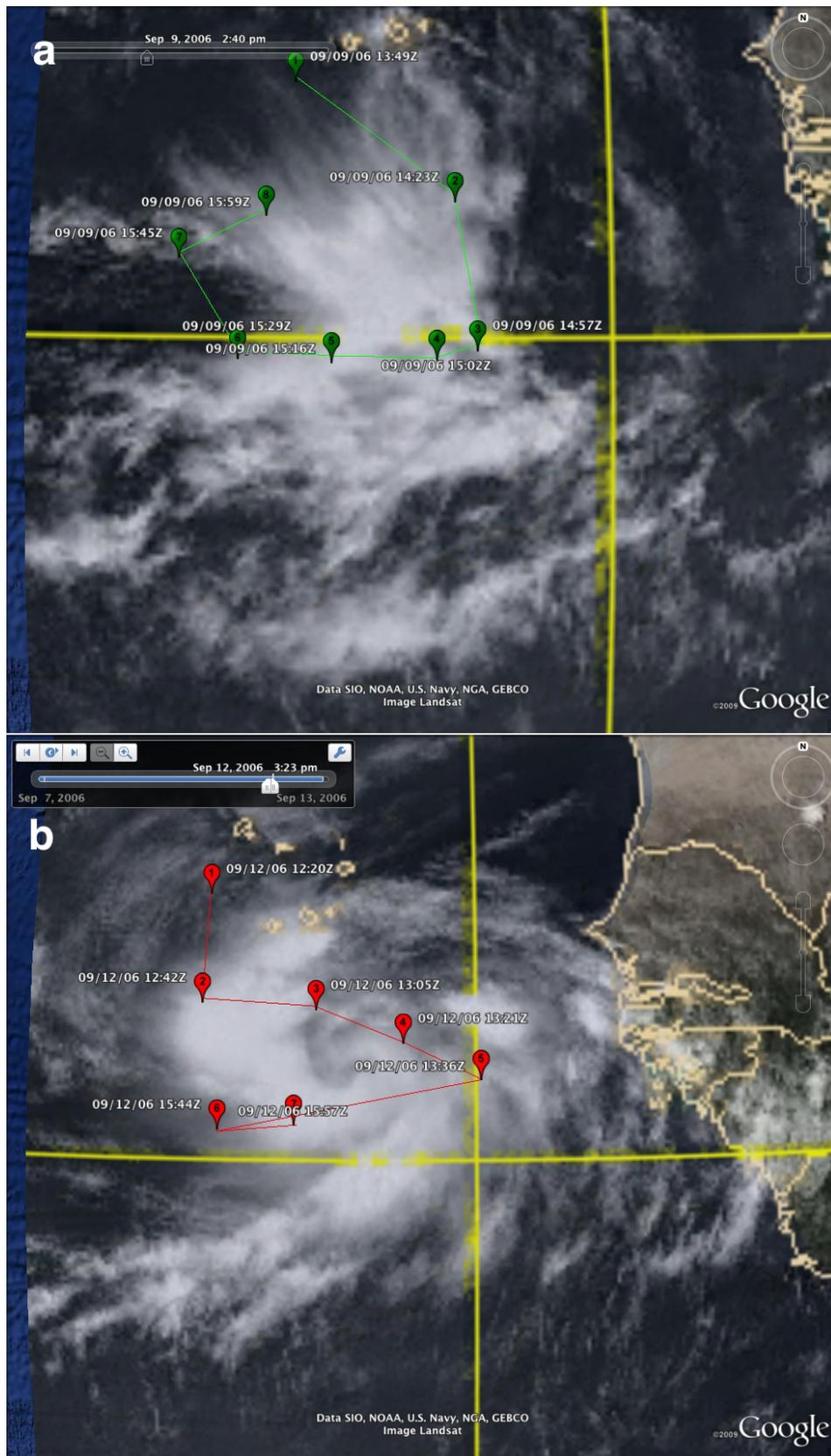


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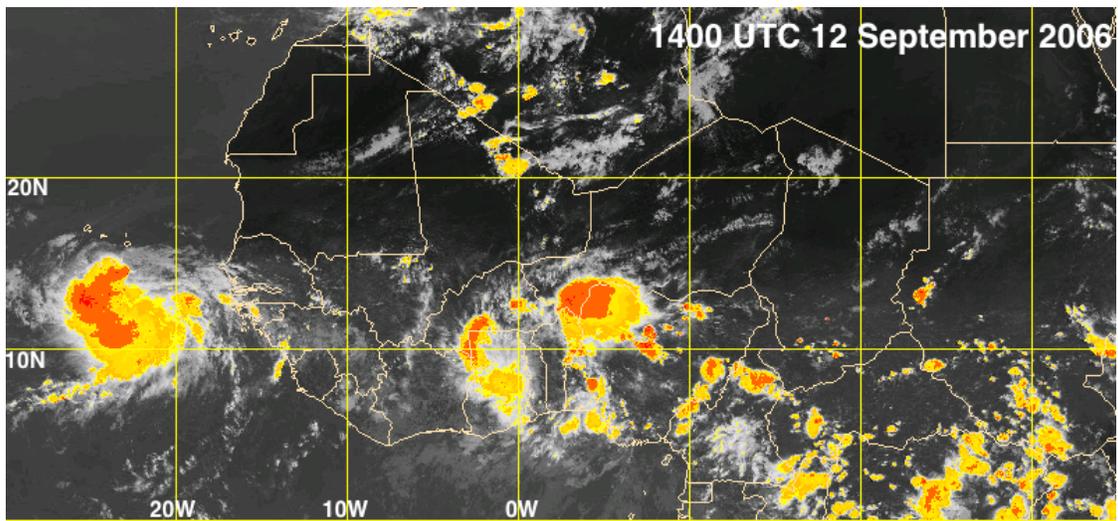


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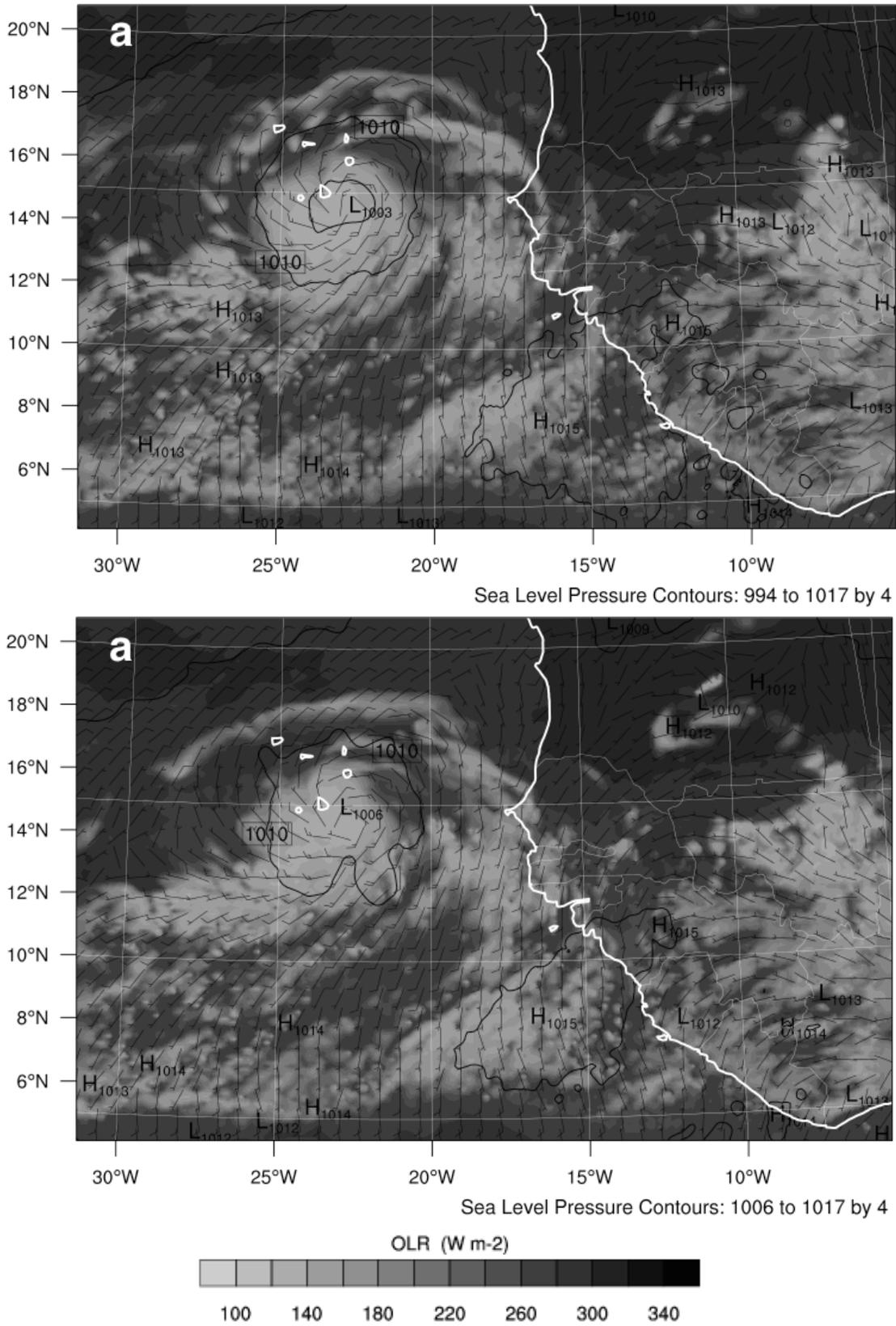


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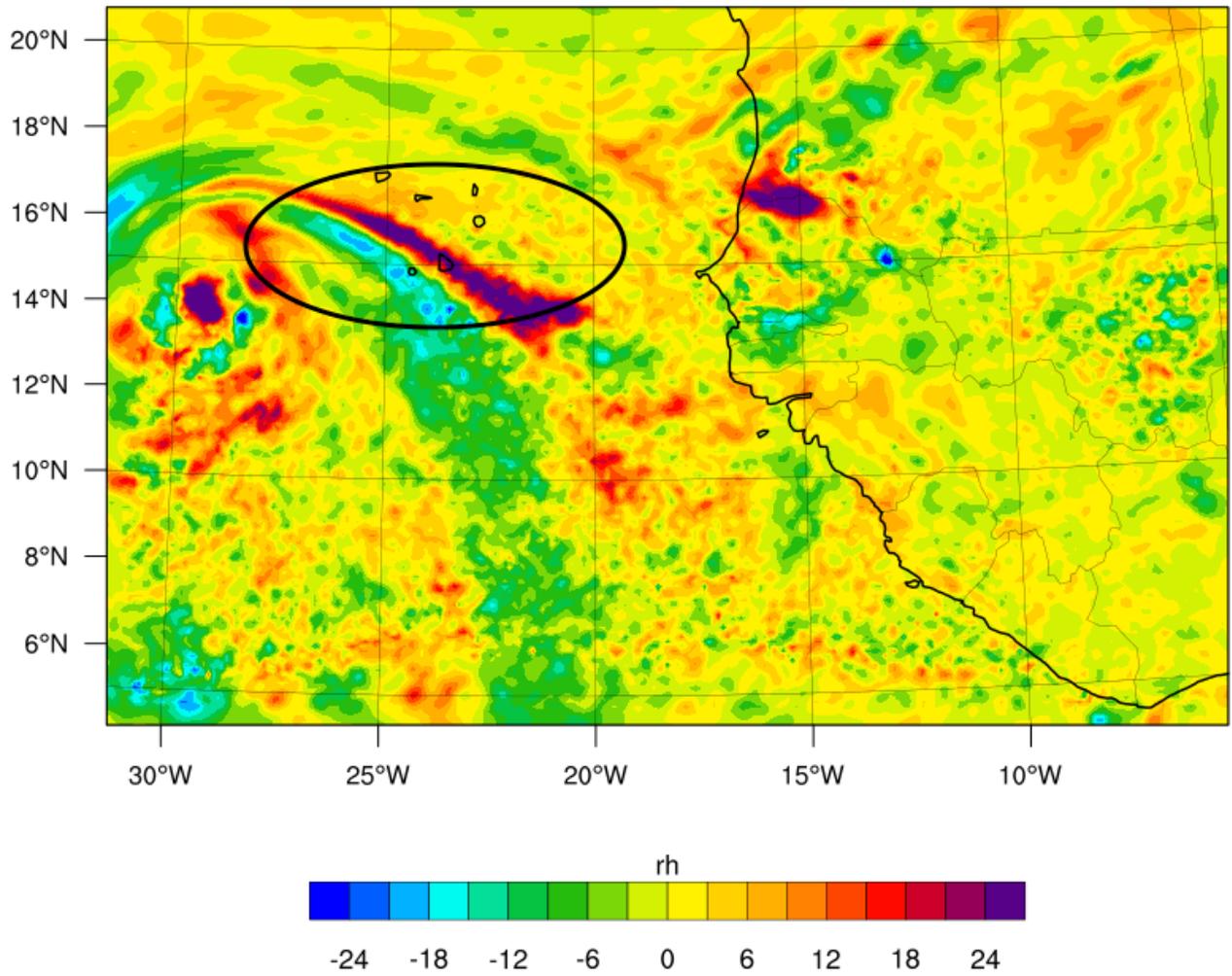


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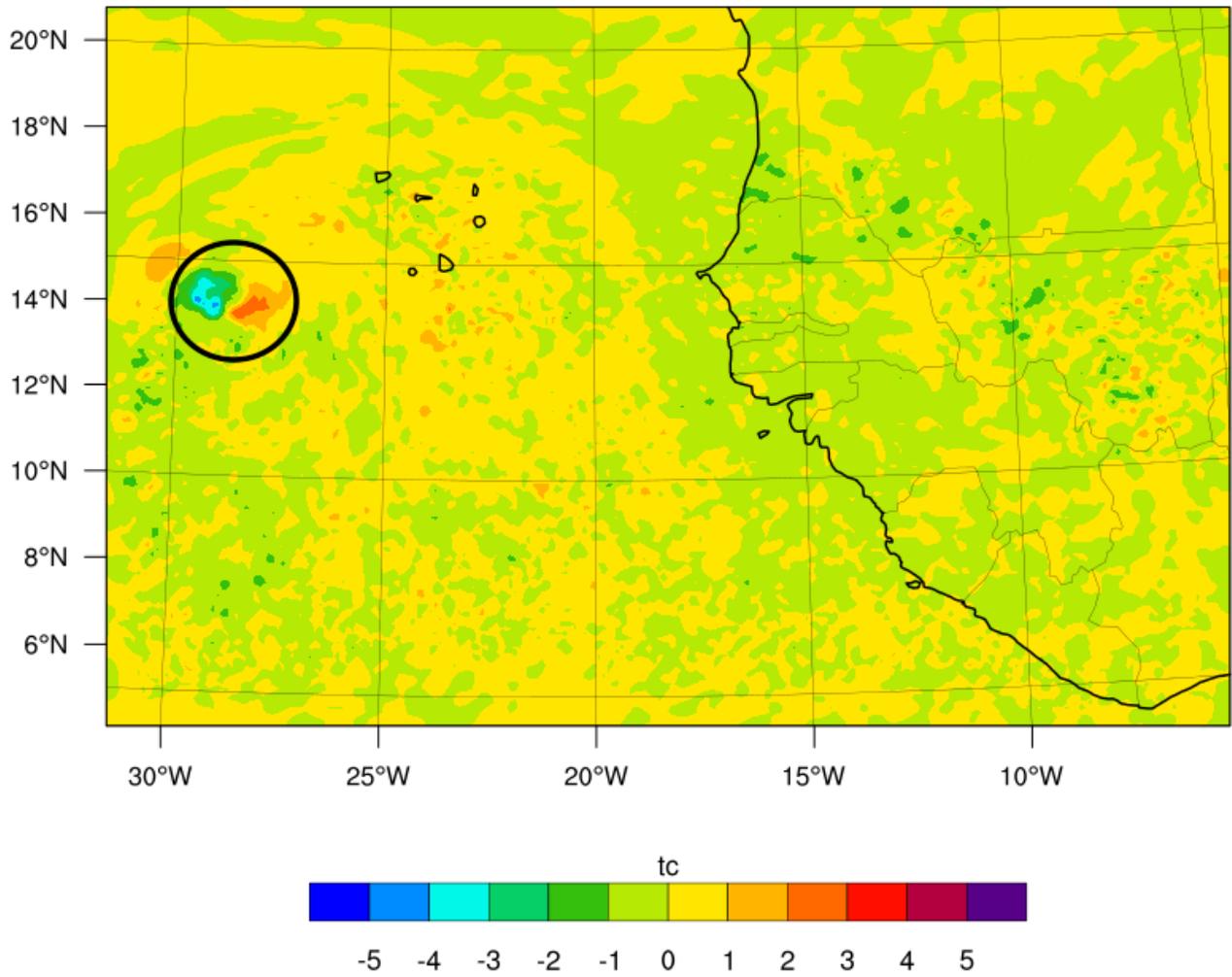


FIG. 8. The difference in temperature at 700 hPa by subtracting simulation wGPS from simulation nGPS valid at 1200 UTC 13 Sept 2006. Units are in $^{\circ}\text{C}$. The black circle highlights the temperature difference near the TC center.

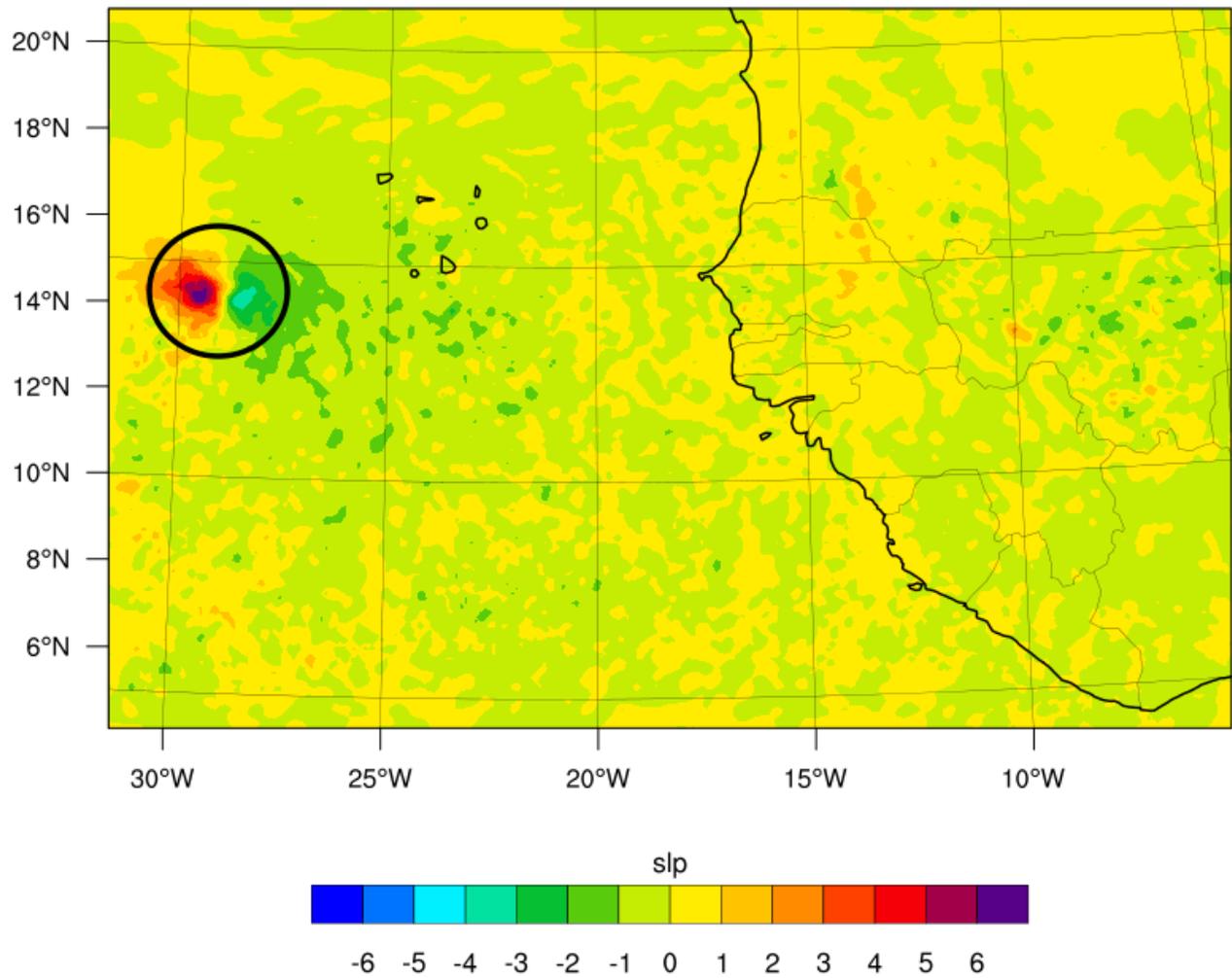


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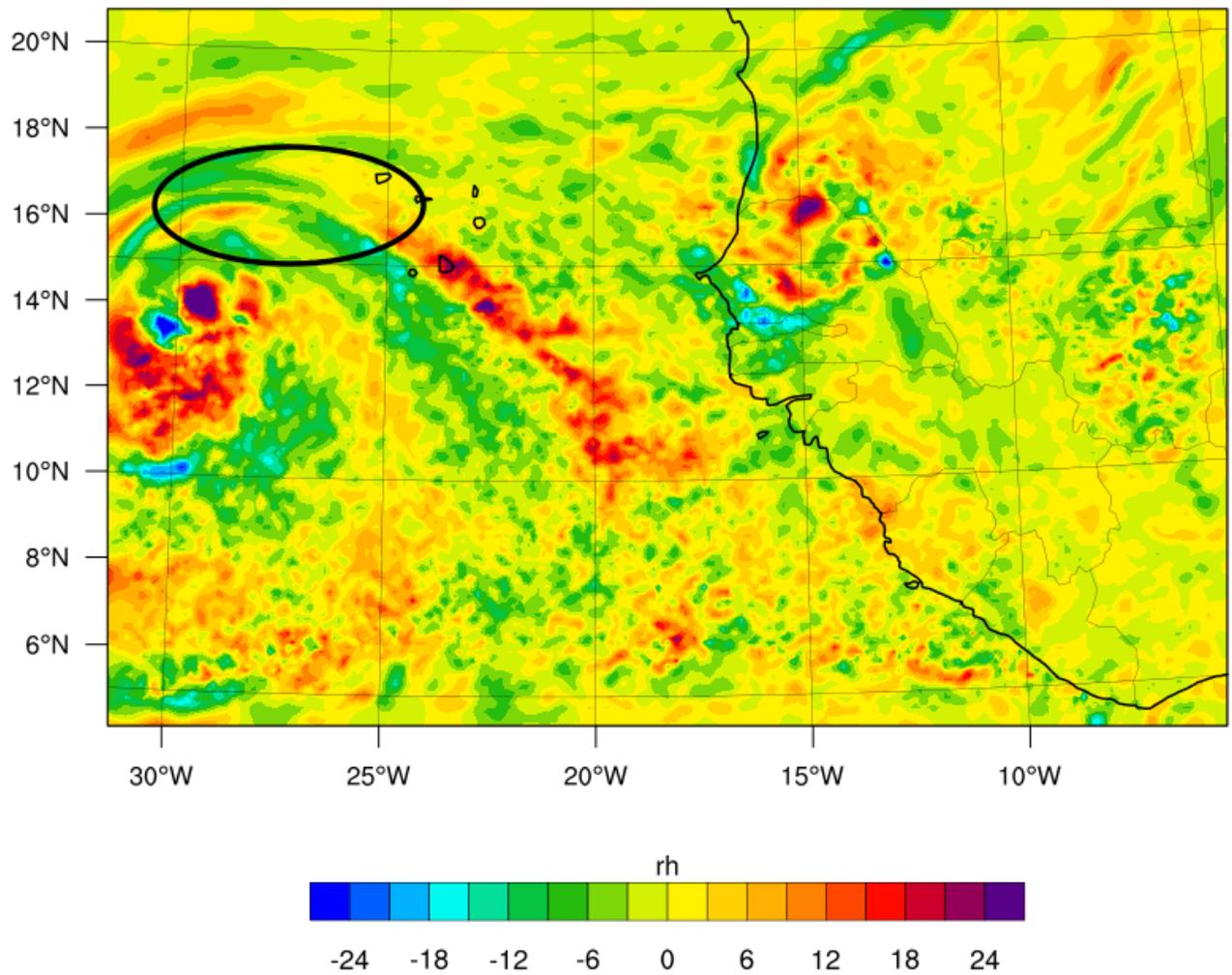


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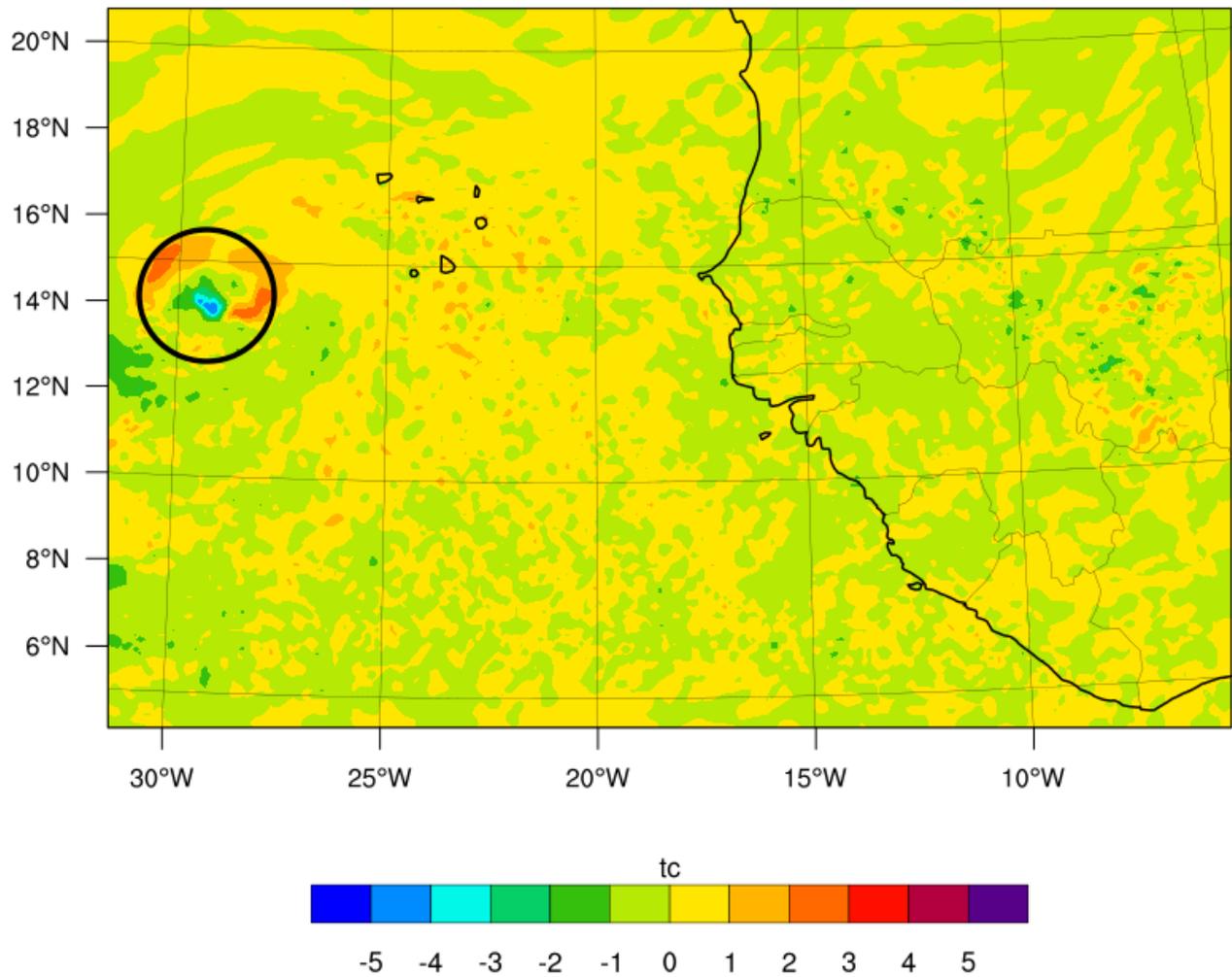


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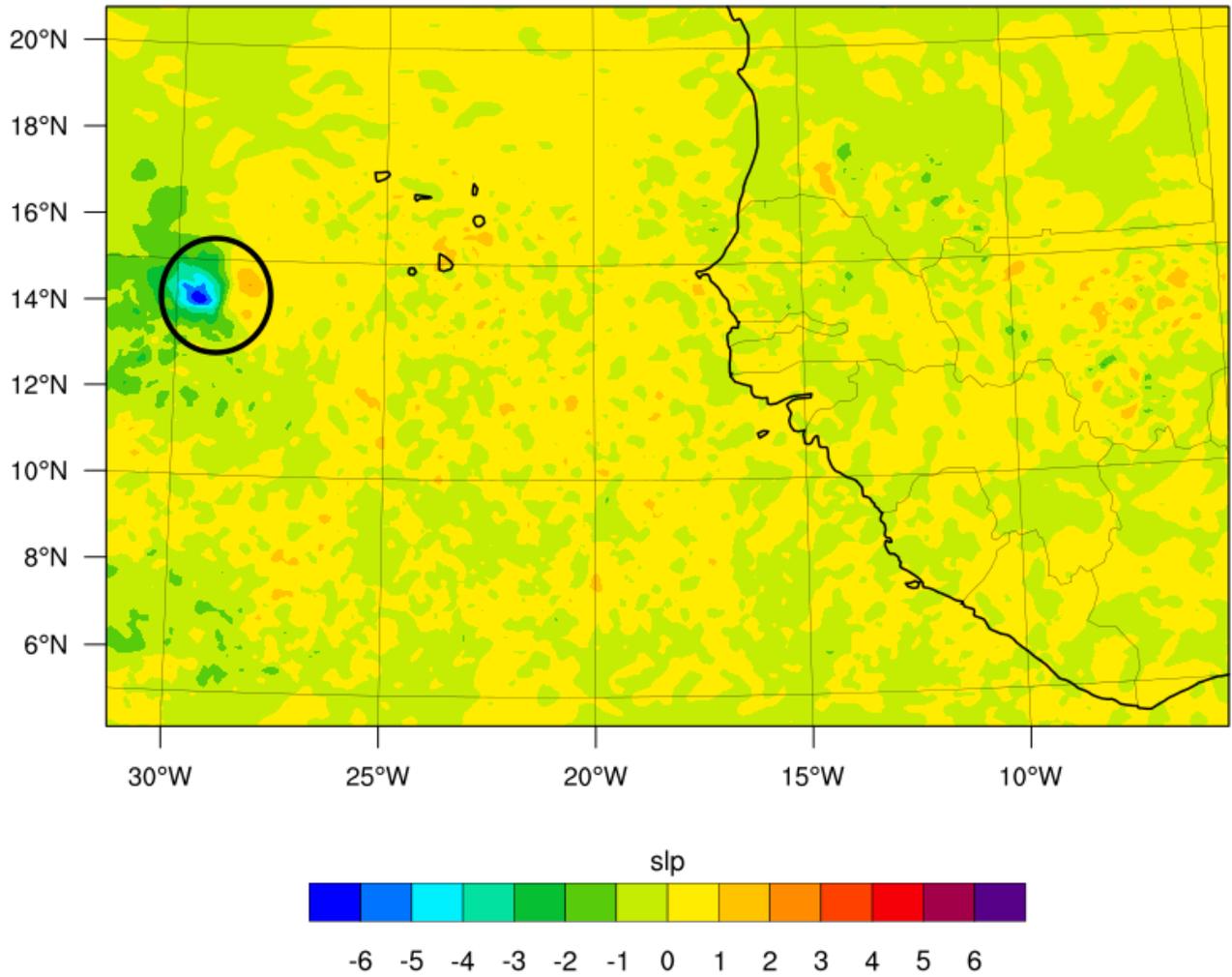


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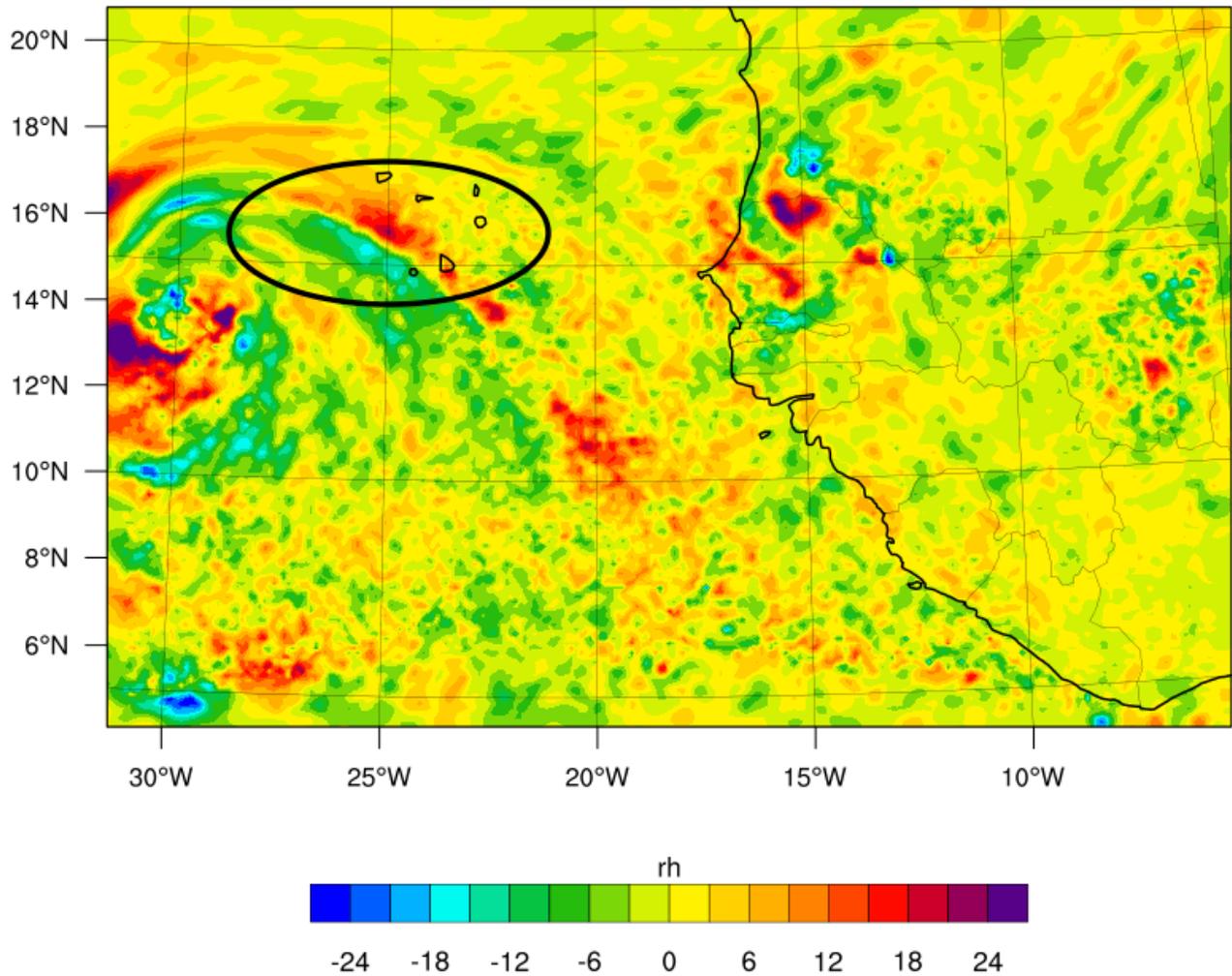


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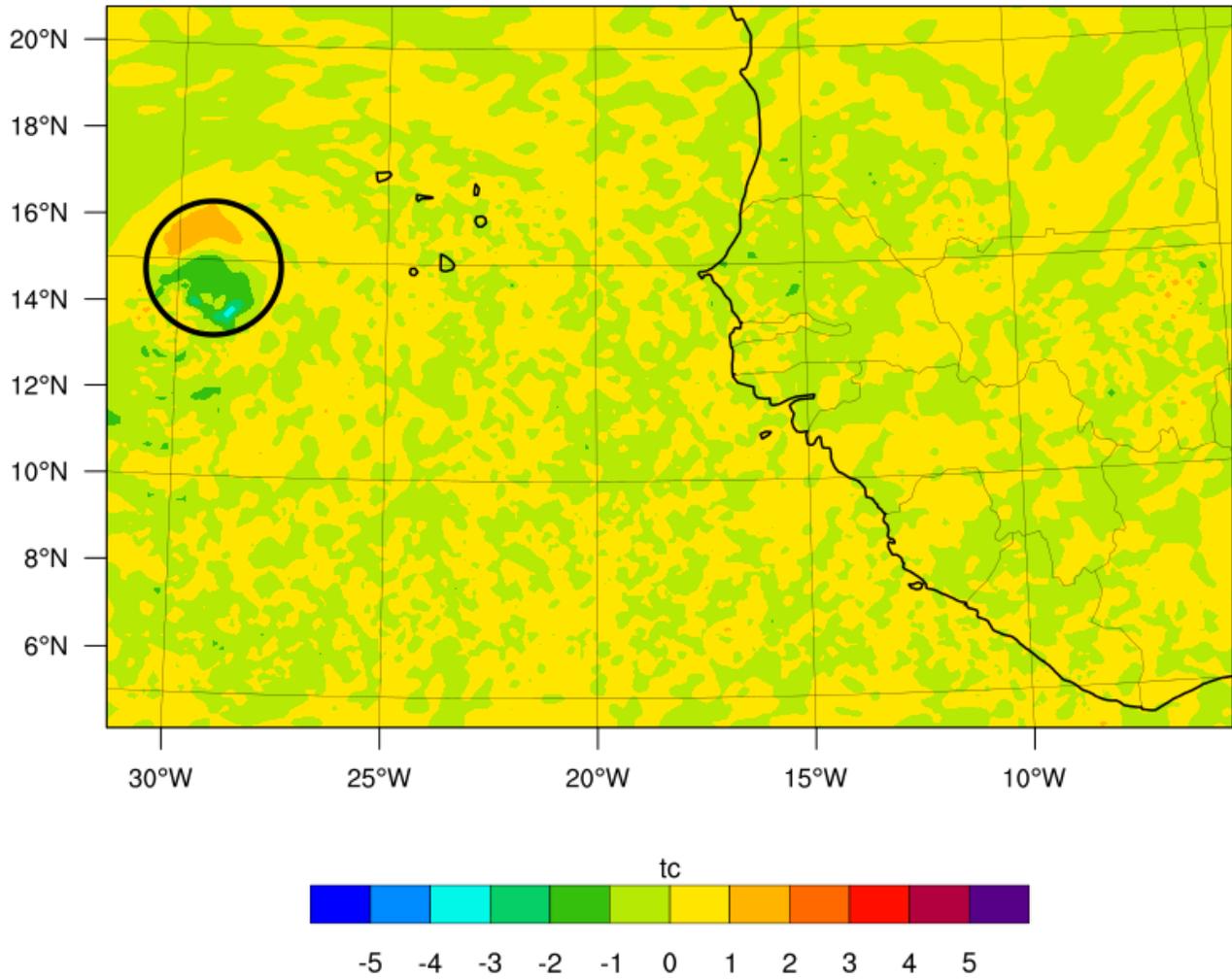


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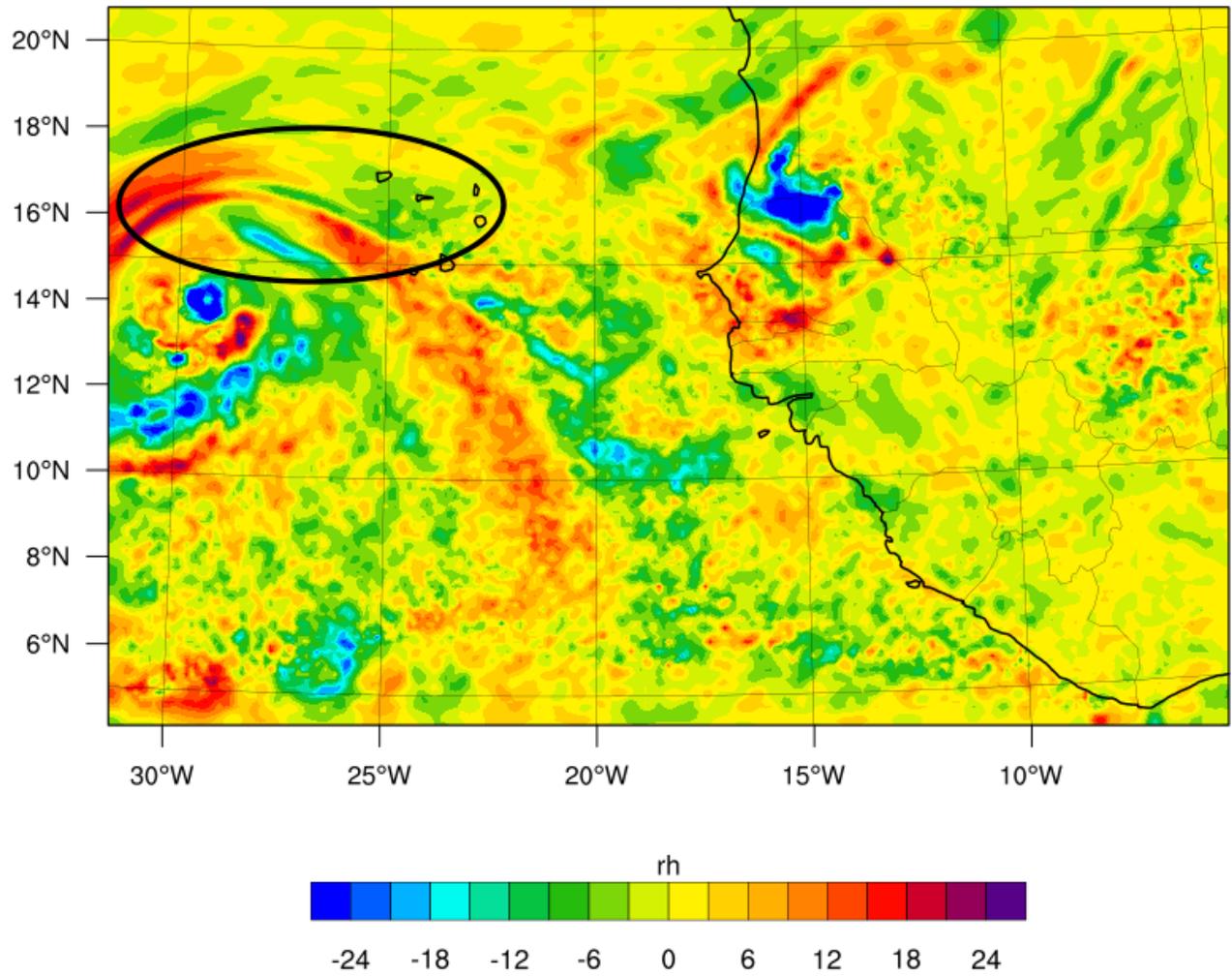


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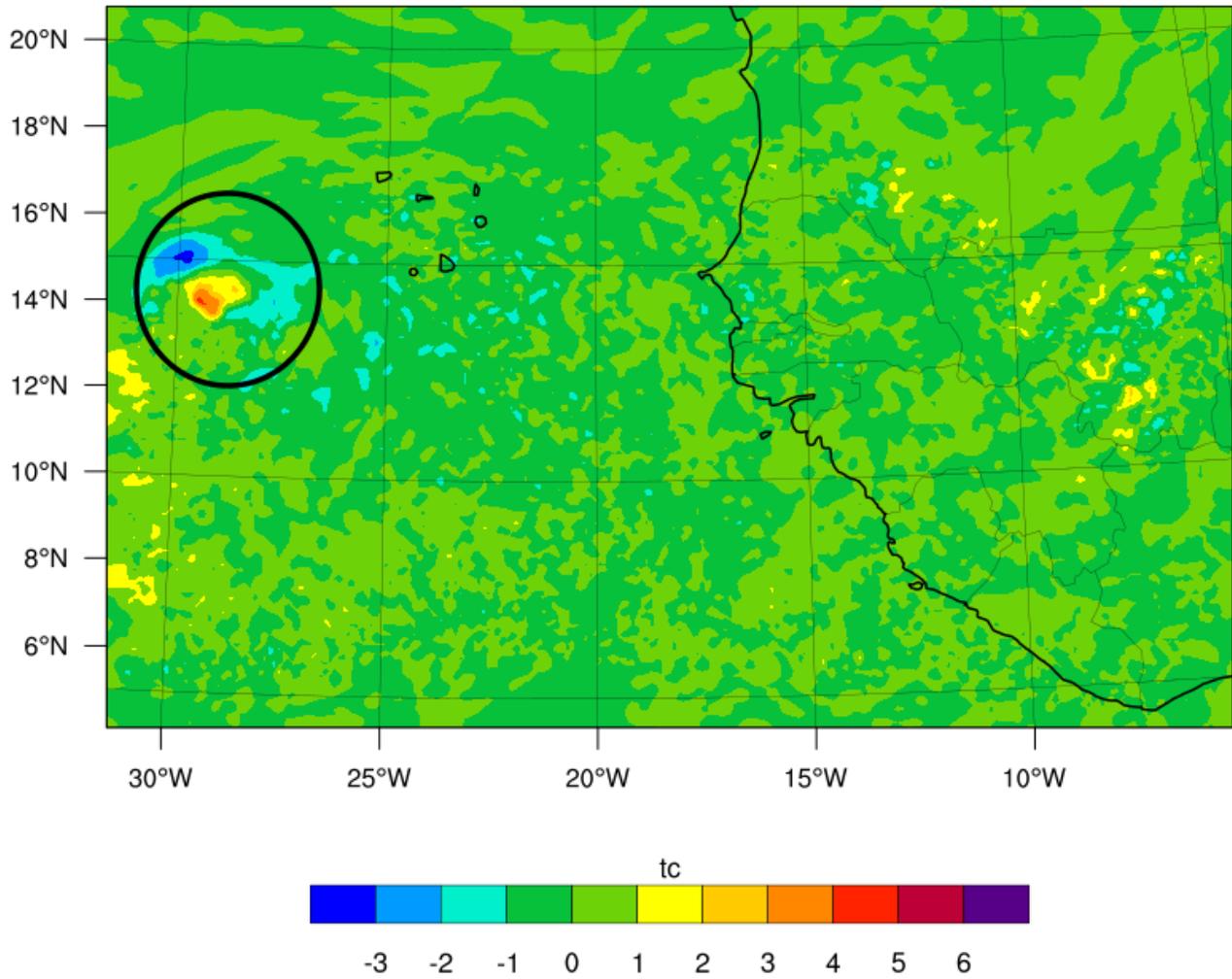


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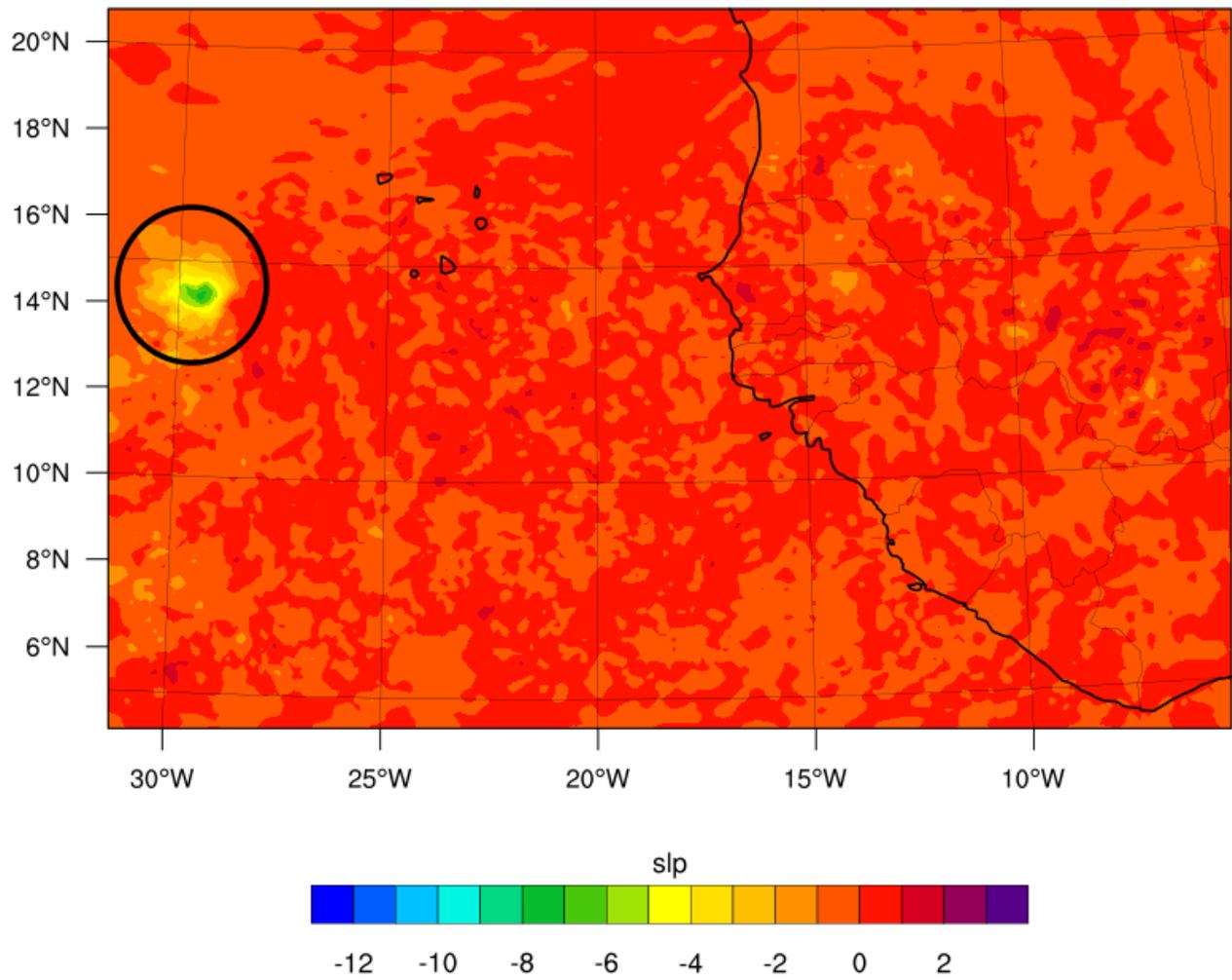


FIG. 17. The difference in sea level pressure by subtracting simulation aGPS from simulation nGPS valid at 1200 UTC 13 Sept 2006. Units are in hPa. The black circle highlights the pressure difference near the TC center.

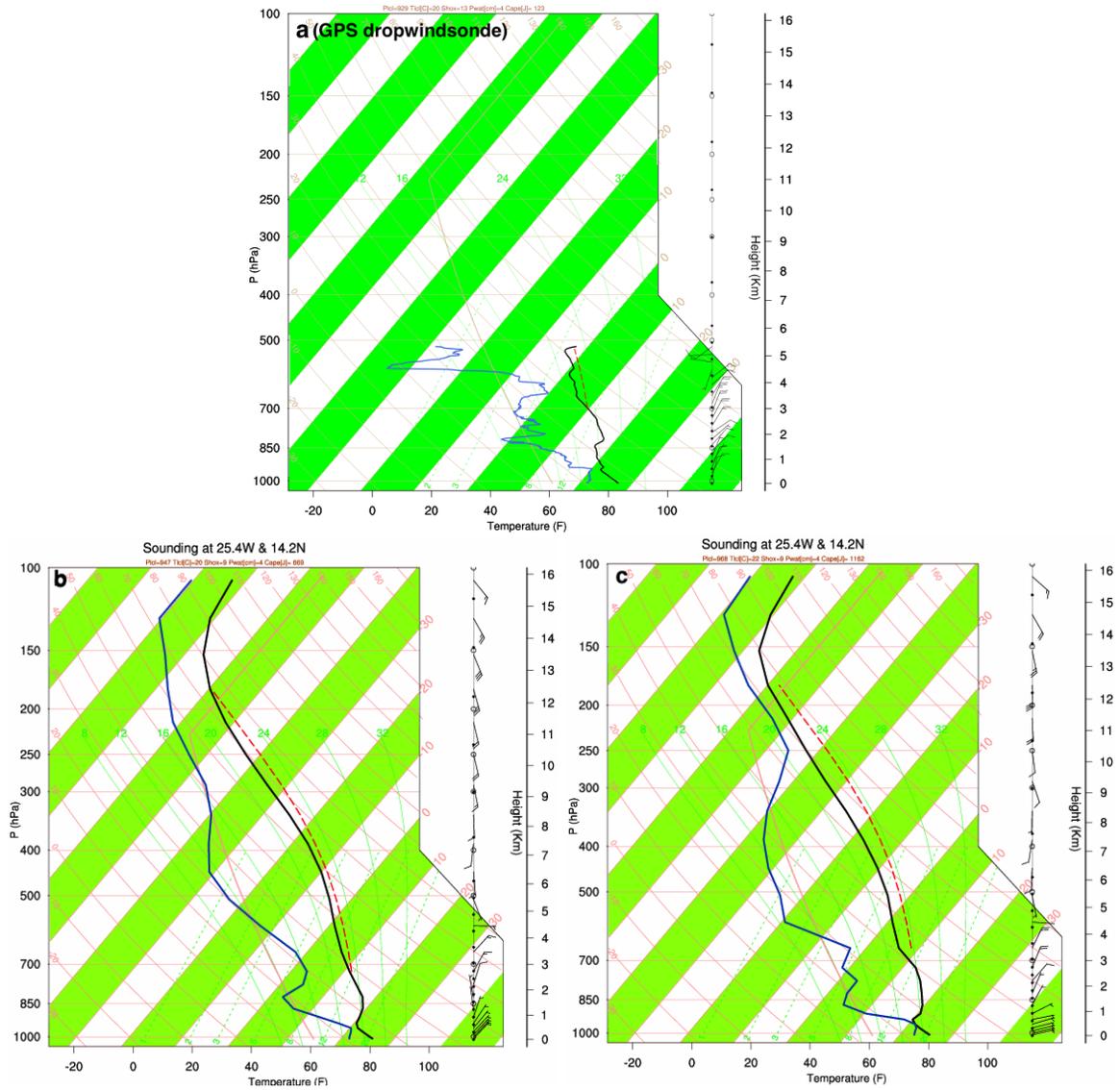


FIG. 18. Skew-T plot from the (a) GPS dropsonde, (b) without GPS dropsondes, and (c) with GPS dropsondes valid at 1400 UTC on 09 Sept 2006 at 25.4°W and 14.2°N .

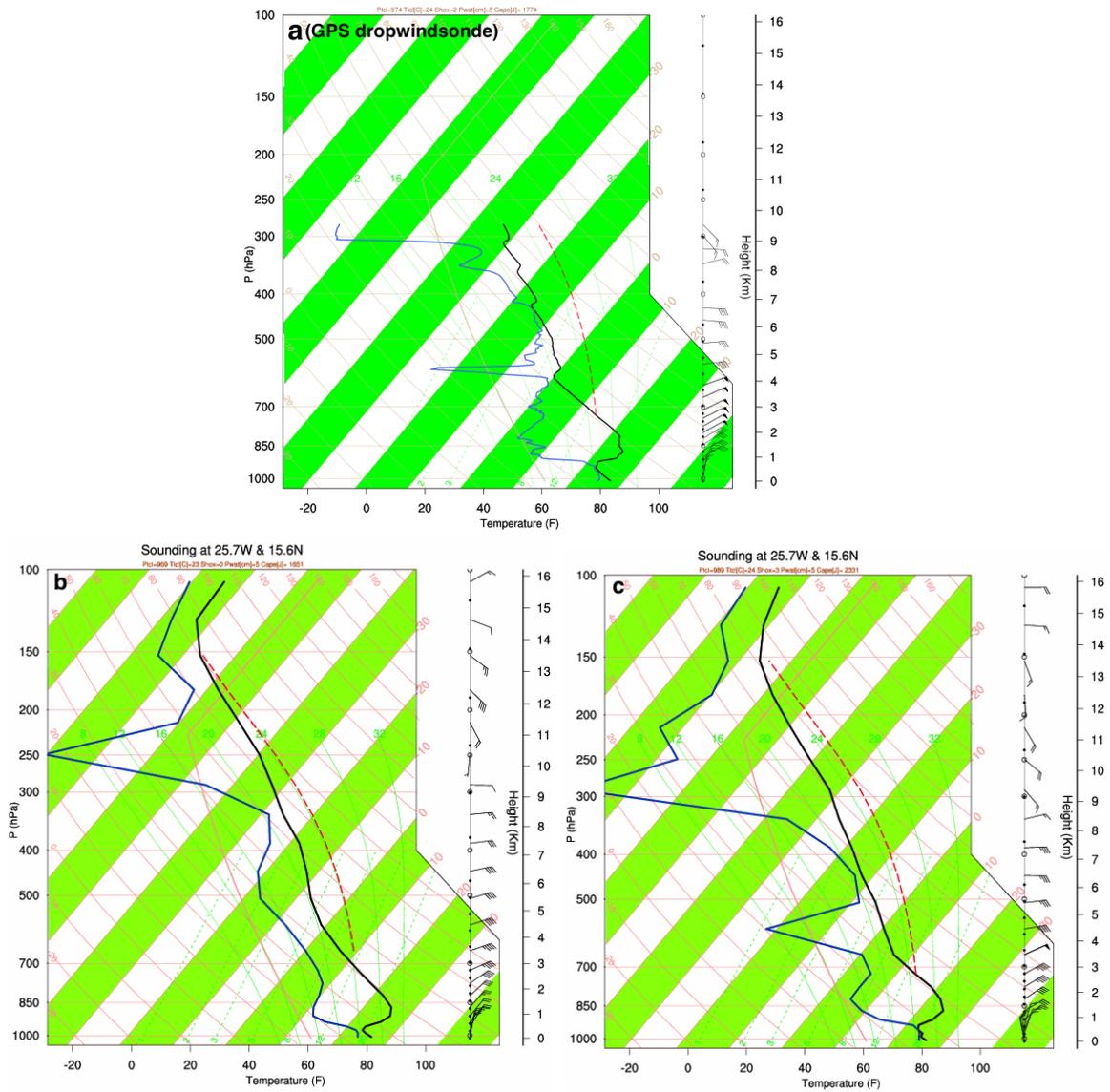


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